#### **INVESTOR'S COMPLIANT STATUS**

#### PURSUANT TO SEBI CIRCULAR SEBI/HO/CFD/DCR2/P/CIR/2021/0661

# MONTHLY DATA COLLECTIVELY (IPO/FPO/OFS/RIGHT ISSUE/SMEIPO/BUY BACK/DELISTING/TAKEOVERS): November 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

#### MONTHLY DATA (IPO/FPO/OFS): November 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

#### MONTHLY DATA (RIGHT ISSUE): November 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

# MONTHLY DATA (Qualified Institutional Placement "QIP"): November 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

## MONTHLY DATA (Preferential Issue) : November 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

#### MONTHLY DATA (SME IPO/FPO/OFS): November 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

## MONTHLY DATA (Buy Back of Securities): November 2022

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

## **MONTHLY DATA (Delisting of Equity Shares): November 2022**

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month#	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

# **MONTHLY DATA (Substantial Acquisition of Shares & Takeovers): November 2022**

SN	Received from	Pending as at the end of last month	Received during the particular month	Resolved during the particular month*	Total Pending during the particular month #	Pending complaints > 1 month	Average Resolution time^\ (in days)
1	Directly from Investors	Nil	Nil	Nil	Nil	Nil	Nil
2	SEBI (SCORES)	Nil	Nil	Nil	Nil	Nil	Nil
3	Stock Exchanges (if relevant)	Nil	Nil	Nil	Nil	Nil	Nil
4	Other Sources (if any)	Nil	Nil	Nil	Nil	Nil	Nil
5	Grand Total	Nil	Nil	Nil	Nil	Nil	Nil

#### Trend of monthly disposal of complaints (For 5 months on rolling basis)-

SN	Month	Carried forward from previous month	Received during the particular month	Resolved during the particular month *	Pending at the end of the particular month #
1	July, 2022	Nil	Nil	NA	NA
2	August, 2022	Nil	Nil	NA	NA
3	September, 2022	Nil	Nil	NA	NA
4	October, 2022	Nil	Nil	NA	NA
5	November, 2022	Nil	Nil	NA	NA
	Grand Total	Nil	Nil	NA	NA

<sup>^</sup> Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

<sup>\*</sup> Inclusive of complaints of previous months resolved in the current month.

<sup>#</sup> Inclusive of complaints pending as on the last day of the month.

# Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)-

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2021	Nil	Nil	Nil	Nil
2	2022	NA	NA	NA	NA
3	2023	NA	NA	NA	NA
4	2024	NA	NA	NA	NA
5	2025	NA	NA	NA	NA
	Grand Total	Nil	Nil	Nil	Nil